



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76960

Toru ASHIDA, et al.

Appln. No.: 10/642,193

Group Art Unit: 1712

Confirmation No.: 1108

Examiner: Unknown

Filed: August 18, 2003

For: DISAZO COMPOUND OR SALT THEREOF AND POLARIZING FILM USING THE SAME

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Publication No. 2001-235629 published August 31, 2001, with English translation.
2. U.S. Patent No. 6,552,849 issued April 22, 2003 to Furuhashi et al. This patent corresponds to WO 00/37973, which is cited in the specification at page 1, line 18.
3. Japanese Unexamined Patent Publication No. 9-302249 published November 25, 1997, with partial English translation.
4. U.S. Patent No. 5,318,856 issued June 7, 1994, to Misawa et al.
5. Japanese Unexamined Patent Publication No. 1-252904 published October 9, 1989, with English Abstract.

ASHIDA et al.
Appln. No. 10/642,193
INFORMATION DISCLOSURE STATEMENT

6. Japanese Unexamined Patent Publication No. 1-172907 published July 7, 1989, with English Abstract.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,
J. Frank Osha

J. Frank Osha
Registration No. 24,625

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: November 21, 2003

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/642,193
Confirmation Number	1108
Filing Date	August 18, 2003
First Named Inventor	Toru ASHIDA
Art Unit	1712
Examiner Name	Unknown
Attorney Docket Number	Q76960

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 6,552,849	B1	04-22-2003	Furuhashi et al.
		US 5,318,856	A	06-07-1994	Misawa et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2001-235629	A	08-31-2001	Toshiba Corp.	Yes
		JP	9-302249	A	11-25-1997	Sumitomo Chemical Co., Ltd.	Abstract
		JP	1-252904	A	10-09-1989	Mitsubishi Kasai Corp.	Abstract
		JP	1-172907	A	07-07-1989	Nippon Kayaku Co., Ltd.	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.